



ATTORNEY DOCKET NO.  
11321-P012 Series

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MAY 13 2003

PATENT

TC 1700

**SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As the below named inventor, I hereby declare that:

I understand that based upon a review of the Declaration and Power of Attorney (the "Declaration") for United States Patent Application Serial No. 09/380,545, Attorney Docket No. 11321-P012WOUS, (the "Application"), an error has been identified.

I further understand the Declaration was filed on December 22, 1999 and that on March 7, 2000, Applicant received a Notification of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495 reflecting that the December 22, 1999, was the date of receipt of 35 U.S.C. 371 requirements.

I further understand that, after the filing of the Declaration and due to a restriction requirement by the United States Patent and Trademark Office, the following divisionals of the United States Patent Application Serial No. 09/380,545 have been filed:

Appl. Serial No.	Att. Docket No.	Continuity Type:	Filing Date:
10/032,933	11321-P012USD1	Divisional	December 28, 2001
10/035,075	11321-P012USD2	Divisional	December 28, 2001
10/034,745	11321-P012USD3	Divisional	December 28, 2001
10/033,092	11321-P012USD4	Divisional	December 28, 2001
10/033,050	11321-P012USD5	Divisional	December 28, 2001
10/032,932	11321-P012USD6	Divisional	December 28, 2001
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10/033,228	11321-P012USD10	Divisional	December 28, 2001
10/032,726	11321-P012USD11	Divisional	December 28, 2001
10/033,075	11321-P012USD12	Divisional	December 28, 2001
10/033,028	11321-P012USD13	Divisional	December 28, 2001

The identified error occurs on Page 2 of the Declaration, under the heading "Prior United States Application(s)." The Declaration inadvertently referred to a claim for benefit under 35 U.S.C. 120 based upon prior United States Patent Application No. 08/687,665, filed on November 1, 1996.

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Rather, the Application is the United States National Phase of International Application Serial No. PCT/US98/04513, filed on March 6, 1998 and claims priority benefits from such Application, as well as claiming priority benefits from the United States provisional patent applications that were listed on page 1 of the Declaration.

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**FULL NAME OF FIRST INVENTOR: RICHARD E. SMALLEY**

**INVENTOR'S SIGNATURE:** Richard E. Smalley **DATE:** 2/20/03

**RESIDENCE: 1816 Bolsover**  
**Houston, Texas 77005**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF SECOND INVENTOR: DANIEL T. COLBERT**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1911 Milford Street**  
**Houston, Texas 77098**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

**FULL NAME OF THIRD INVENTOR: HONGJIE DAI**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 365 Pescadero Terrace**  
**Sunnyvale, California 94086**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF FOURTH INVENTOR: JIE LIU**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 5825 Gulfon, Apartment 3214**  
**Houston, Texas 77081**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF FIFTH INVENTOR: ANDREW G. RINZLER**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 6666 Chetwood, Apartment 171**  
**Houston, Texas 77081**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**ATTORNEY DOCKET NO.**  
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**PATENT**

**FULL NAME OF SIXTH INVENTOR: JASON H. HAFNER**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 373 Highland Avenue, Apartment 203**  
**Somerville, Massachusetts 02144**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF SEVENTH INVENTOR: KENNETH A. SMITH**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 333 Dominion, Apartment 1334**  
**Katy, Texas 77450**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF EIGHTH INVENTOR: TING GUO**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 2612 Calder Court**  
**Davis, California 95616**

**CITIZENSHIP: CHINA**

**POST OFFICE ADDRESS: (Same as Residence)**

ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

FULL NAME OF NINTH INVENTOR: PAVEL NIKOLAEV

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 2232 1/2 TROON  
Houston, Texas 77019

CITIZENSHIP: RUSSIA

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF TENTH INVENTOR: ANDREAS THESS

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: Max-Planck-Institut Biochemie; Abteilung Molekulace,  
Strukturbiologie am Klopferspitz 189, D-82152 Martinsried, Germany

CITIZENSHIP: GERMANY

POST OFFICE ADDRESS: (Same as Residence)

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The identified error occurs on Page 2 of the Declaration, under the heading "Prior United States Application(s)." The Declaration inadvertently referred to a claim for benefit under 35 U.S.C.

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**FULL NAME OF FIRST INVENTOR: RICHARD E. SMALLEY**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1816 Bolsover**  
**Houston, Texas 77005**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF SECOND INVENTOR: DANIEL T. COLBERT**

**INVENTOR'S SIGNATURE:**  **DATE:** 2/25/03

**RESIDENCE: 1911 Milford Street**  
**Houston, Texas 77098**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

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**PATENT**

FULL NAME OF THIRD INVENTOR: **HONGJIE DAI**

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: **365 Pescadero Terrace**  
**Sunnyvale, California 94086**

CITIZENSHIP: **U.S.A.**

POST OFFICE ADDRESS: **(Same as Residence)**

FULL NAME OF FOURTH INVENTOR: **JIE LIU**

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: **5825 Gulfton, Apartment 3214**  
**Houston, Texas 77081**

CITIZENSHIP: **U.S.A.**

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FULL NAME OF FIFTH INVENTOR: **ANDREW G. RINZLER**

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RESIDENCE: 373 Highland Avenue, Apartment 203  
Somerville, Massachusetts 02144

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF SEVENTH INVENTOR: KENNETH A. SMITH

INVENTOR'S SIGNATURE: Kenneth A. Smith DATE: 2-25-03

RESIDENCE: 333 Dominion, Apartment 1334  
Katy, Texas 77450

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF EIGHTH INVENTOR: TING GUO

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

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**PATENT**

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**INVENTOR'S SIGNATURE:**\_\_\_\_\_ **DATE:**\_\_\_\_\_

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**CITIZENSHIP: RUSSIA**

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**FULL NAME OF TENTH INVENTOR: ANDREAS THESS**

**INVENTOR'S SIGNATURE:**\_\_\_\_\_ **DATE:**\_\_\_\_\_

**RESIDENCE: Max-Planck-Institut Biochemie; Abteilung Molekulace,**  
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FULL NAME OF THIRD INVENTOR: HONGJIE DAI

INVENTOR'S SIGNATURE: Hongjie Dai DATE: 2/27/03

RESIDENCE: 365 Pescadero Terrace  
Sunnyvale, California 94086

CITIZENSHIP: U.S.A.

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INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

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CITIZENSHIP: RUSSIA

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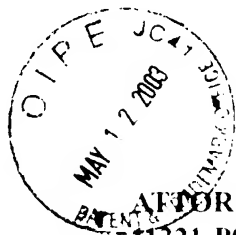
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INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

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CITIZENSHIP: **U.S.A.**

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FULL NAME OF FOURTH INVENTOR: **JIE LIU**

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: 02/21/03

RESIDENCE: ~~5825 Guffon Apartment 3214~~ **502 Westminster Drive**  
~~Houston, Texas 77081~~ **Chapel Hill, North Carolina 27514** *or 02/24/03*

CITIZENSHIP: **U.S.A.**

POST OFFICE ADDRESS: **(Same as Residence)**

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**CITIZENSHIP: U.S.A.**

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**FULL NAME OF SEVENTH INVENTOR: KENNETH A. SMITH**

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**CITIZENSHIP: CHINA**

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INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: **2232 ½ TROON**  
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CITIZENSHIP: **RUSSIA**

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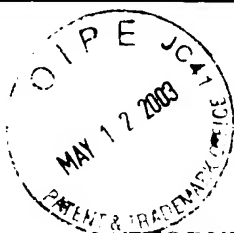
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INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

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I further understand that, after the filing of the Declaration and due to a restriction requirement by the United States Patent and Trademark Office, the following divisionals of the United States Patent Application Serial No. 09/380,545 have been filed:

Appl. Serial No.	Att. Docket No.	Continuity Type:	Filing Date:
10/032,933	11321-P012USD1	Divisional	December 28, 2001
10/035,075	11321-P012USD2	Divisional	December 28, 2001
10/034,745	11321-P012USD3	Divisional	December 28, 2001
10/033,092	11321-P012USD4	Divisional	December 28, 2001
10/033,050	11321-P012USD5	Divisional	December 28, 2001
10/032,932	11321-P012USD6	Divisional	December 28, 2001
10/032,732	11321-P012USD7	Divisional	December 28, 2001
10/033,076	11321-P012USD8	Divisional	December 28, 2001
10/033,470	11321-P012USD9	Divisional	December 28, 2001
10/033,228	11321-P012USD10	Divisional	December 28, 2001
10/032,726	11321-P012USD11	Divisional	December 28, 2001
10/033,075	11321-P012USD12	Divisional	December 28, 2001
10/033,028	11321-P012USD13	Divisional	December 28, 2001

The identified error occurs on Page 2 of the Declaration, under the heading "Prior United States Application(s)." The Declaration inadvertently referred to a claim for benefit under 35 U.S.C.

**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

Rather, the Application is the United States National Phase of International Application Serial No. PCT/US98/04513, filed on March 6, 1998 and claims priority benefits from such Application, as well as claiming priority benefits from the United States provisional patent applications that were listed on page 1 of the Declaration.

This error of the Declaration was inadvertent and arose without any deceptive intent.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**FULL NAME OF FIRST INVENTOR: RICHARD E. SMALLEY**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1816 Bolsover**  
**Houston, Texas 77005**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF SECOND INVENTOR: DANIEL T. COLBERT**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1911 Milford Street**  
**Houston, Texas 77098**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

FULL NAME OF THIRD INVENTOR: HONGJIE DAI

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 365 Pescadero Terrace  
Sunnyvale, California 94086

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF FOURTH INVENTOR: JIE LIU

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 5825 Gulfon, Apartment 3214  
Houston, Texas 77081

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF FIFTH INVENTOR: ANDREW G. RINZLER

INVENTOR'S SIGNATURE:  DATE: 4/16/03

RESIDENCE: ~~6666 Chetwood, Apartment 171~~  
~~Houston, Texas 77081~~

1713 S.W. 186<sup>TH</sup> St.  
NEWBERRY, FL 32669 AGR.  
4/16/03

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

**FULL NAME OF SIXTH INVENTOR: JASON H. HAFNER**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 373 Highland Avenue, Apartment 203**  
**Somerville, Massachusetts 02144**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF SEVENTH INVENTOR: KENNETH A. SMITH**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 333 Dominion, Apartment 1334**  
**Katy, Texas 77450**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF EIGHTH INVENTOR: TING GUO**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 2612 Calder Court**  
**Davis, California 95616**

**CITIZENSHIP: CHINA**

**POST OFFICE ADDRESS: (Same as Residence)**



**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

**FULL NAME OF NINTH INVENTOR: PAVEL NIKOLAEV**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 2232 ½ TROON**  
**Houston, Texas 77019**

**CITIZENSHIP: RUSSIA**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF TENTH INVENTOR: ANDREAS THESS**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: Max-Planck-Institut Biochemie; Abteilung Molekulace,**  
**Strukturbiologie am Klopferspitz 189, D-82152 Martinsried, Germany**

**CITIZENSHIP: GERMANY**

**POST OFFICE ADDRESS: (Same as Residence)**



ATTORNEY DOCKET NO.  
11321-P012 Series

RECEIVED  
MAY 13 2003  
TC 1700

PATENT

**SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As the below named inventor, I hereby declare that:

I understand that based upon a review of the Declaration and Power of Attorney (the "Declaration") for United States Patent Application Serial No. 09/380,545, Attorney Docket No. 11321-P012WOUS, (the "Application"), an error has been identified.

I further understand the Declaration was filed on December 22, 1999 and that on March 7, 2000, Applicant received a Notification of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495 reflecting that the December 22, 1999, was the date of receipt of 35 U.S.C. 371 requirements.

I further understand that, after the filing of the Declaration and due to a restriction requirement by the United States Patent and Trademark Office, the following divisionals of the United States Patent Application Serial No. 09/380,545 have been filed:

Appl. Serial No.	Att. Docket No.	Continuity Type:	Filing Date:
10/032,933	11321-P012USD1	Divisional	December 28, 2001
10/035,075	11321-P012USD2	Divisional	December 28, 2001
10/034,745	11321-P012USD3	Divisional	December 28, 2001
10/033,092	11321-P012USD4	Divisional	December 28, 2001
10/033,050	11321-P012USD5	Divisional	December 28, 2001
10/032,932	11321-P012USD6	Divisional	December 28, 2001
10/032,732	11321-P012USD7	Divisional	December 28, 2001
10/033,076	11321-P012USD8	Divisional	December 28, 2001
10/033,470	11321-P012USD9	Divisional	December 28, 2001
10/033,228	11321-P012USD10	Divisional	December 28, 2001
10/032,726	11321-P012USD11	Divisional	December 28, 2001
10/033,075	11321-P012USD12	Divisional	December 28, 2001
10/033,028	11321-P012USD13	Divisional	December 28, 2001

The identified error occurs on Page 2 of the Declaration, under the heading "Prior United States Application(s)." The Declaration inadvertently referred to a claim for benefit under 35 U.S.C.

**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

Rather, the Application is the United States National Phase of International Application Serial No. PCT/US98/04513, filed on March 6, 1998 and claims priority benefits from such Application, as well as claiming priority benefits from the United States provisional patent applications that were listed on page 1 of the Declaration.

This error of the Declaration was inadvertent and arose without any deceptive intent.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**FULL NAME OF FIRST INVENTOR: RICHARD E. SMALLEY**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1816 Bolsover**  
**Houston, Texas 77005**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF SECOND INVENTOR: DANIEL T. COLBERT**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1911 Milford Street**  
**Houston, Texas 77098**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

**FULL NAME OF THIRD INVENTOR: HONGJIE DAI**

**INVENTOR'S SIGNATURE:**\_\_\_\_\_

**DATE:**\_\_\_\_\_

**RESIDENCE: 365 Pescadero Terrace**  
**Sunnyvale, California 94086**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF FOURTH INVENTOR: JIE LIU**

**INVENTOR'S SIGNATURE:**\_\_\_\_\_

**DATE:**\_\_\_\_\_

**RESIDENCE: 5825 Gulfon, Apartment 3214**  
**Houston, Texas 77081**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF FIFTH INVENTOR: ANDREW G. RINZLER**

**INVENTOR'S SIGNATURE:**\_\_\_\_\_

**DATE:**\_\_\_\_\_

**RESIDENCE: 6666 Chetwood, Apartment 171**  
**Houston, Texas 77081**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

FULL NAME OF SIXTH INVENTOR: JASON H. HAFNER

INVENTOR'S SIGNATURE:  DATE: 03/06/03

RESIDENCE: ~~373 Highland Avenue, Apartment 203~~ 3610 Underwood  
~~Somerville, Massachusetts 02144~~ Houston, Texas 77025  
*gh*

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF SEVENTH INVENTOR: KENNETH A. SMITH

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 333 Dominion, Apartment 1334  
Katy, Texas 77450

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF EIGHTH INVENTOR: TING GUO

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 2612 Calder Court  
Davis, California 95616

CITIZENSHIP: CHINA

POST OFFICE ADDRESS: (Same as Residence)

**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

**FULL NAME OF NINTH INVENTOR: PAVEL NIKOLAEV**

**INVENTOR'S SIGNATURE:**\_\_\_\_\_ **DATE:**\_\_\_\_\_

**RESIDENCE: 2232 ½ TROON**  
**Houston, Texas 77019**

**CITIZENSHIP: RUSSIA**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF TENTH INVENTOR: ANDREAS THESS**

**INVENTOR'S SIGNATURE:**\_\_\_\_\_ **DATE:**\_\_\_\_\_

**RESIDENCE: Max-Planck-Institut Biochemie; Abteilung Molekulace,**  
**Strukturbiologie am Klopferspitz 189, D-82152 Martinsried, Germany**

**CITIZENSHIP: GERMANY**

**POST OFFICE ADDRESS: (Same as Residence)**

AUSTIN\_1\208620\1  
11321-P012WOUS 02/19/2003



ATTORNEY DOCKET NO.  
11321-P012 Series

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MAY 13 2003

TC 1700

PATENT

**SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As the below named inventor, I hereby declare that:

I understand that based upon a review of the Declaration and Power of Attorney (the "Declaration") for United States Patent Application Serial No. 09/380,545, Attorney Docket No. 11321-P012WOUS, (the "Application"), an error has been identified.

I further understand the Declaration was filed on December 22, 1999 and that on March 7, 2000, Applicant received a Notification of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495 reflecting that the December 22, 1999, was the date of receipt of 35 U.S.C. 371 requirements.

I further understand that, after the filing of the Declaration and due to a restriction requirement by the United States Patent and Trademark Office, the following divisionals of the United States Patent Application Serial No. 09/380,545 have been filed:

Appl. Serial No.	Att. Docket No.	Continuity Type:	Filing Date:
10/032,933	11321-P012USD1	Divisional	December 28, 2001
10/035,075	11321-P012USD2	Divisional	December 28, 2001
10/034,745	11321-P012USD3	Divisional	December 28, 2001
10/033,092	11321-P012USD4	Divisional	December 28, 2001
10/033,050	11321-P012USD5	Divisional	December 28, 2001
10/032,932	11321-P012USD6	Divisional	December 28, 2001
10/032,732	11321-P012USD7	Divisional	December 28, 2001
10/033,076	11321-P012USD8	Divisional	December 28, 2001
10/033,470	11321-P012USD9	Divisional	December 28, 2001
10/033,228	11321-P012USD10	Divisional	December 28, 2001
10/032,726	11321-P012USD11	Divisional	December 28, 2001
10/033,075	11321-P012USD12	Divisional	December 28, 2001
10/033,028	11321-P012USD13	Divisional	December 28, 2001

The identified error occurs on Page 2 of the Declaration, under the heading "Prior United States Application(s)." The Declaration inadvertently referred to a claim for benefit under 35 U.S.C. 120, based upon prior United States Patent Application No. 08/687,665, when in fact no such claim

**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

Rather, the Application is the United States National Phase of International Application Serial No. PCT/US98/04513, filed on March 6, 1998 and claims priority benefits from such Application, as well as claiming priority benefits from the United States provisional patent applications that were listed on page 1 of the Declaration.

This error of the Declaration was inadvertent and arose without any deceptive intent.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**FULL NAME OF FIRST INVENTOR: RICHARD E. SMALLEY**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1816 Bolsover**  
**Houston, Texas 77005**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF SECOND INVENTOR: DANIEL T. COLBERT**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1911 Milford Street**  
**Houston, Texas 77098**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**



ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

FULL NAME OF THIRD INVENTOR: HONGJIE DAI

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 365 Pescadero Terrace  
Sunnyvale, California 94086

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF FOURTH INVENTOR: JIE LIU

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 5825 Gulfton, Apartment 3214  
Houston, Texas 77081

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF FIFTH INVENTOR: ANDREW G. RINZLER

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 6666 Chetwood, Apartment 171  
Houston, Texas 77081

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

FULL NAME OF SIXTH INVENTOR: JASON H. HAFNER

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 373 Highland Avenue, Apartment 203  
Somerville, Massachusetts 02144

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF SEVENTH INVENTOR: KENNETH A. SMITH

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 333 Dominion, Apartment 1334  
Katy, Texas 77450

CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF EIGHTH INVENTOR: TING GUO

INVENTOR'S SIGNATURE:  DATE: 4/7/03

RESIDENCE: 2612 Calder Court  
Davis, California 95616

CITIZENSHIP: CHINA

POST OFFICE ADDRESS: (Same as Residence)

ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

FULL NAME OF NINTH INVENTOR: PAVEL NIKOLAEV

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 2232 1/2 TROON  
Houston, Texas 77019

CITIZENSHIP: RUSSIA

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF TENTH INVENTOR: ANDREAS THESS

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: Max-Planck-Institut Biochemie; Abteilung Molekulare,  
Strukturbiologie am Klopferspitz 189, D-82152 Martinsried, Germany

CITIZENSHIP: GERMANY

POST OFFICE ADDRESS: (Same as Residence)

AUSTIN\_112086201  
11321-P012WOUS 02/19/2003



ATTORNEY DOCKET NO.  
11321-P012 Series

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MAY 13 2003

TC 1700

PATENT

**SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As the below named inventor, I hereby declare that:

I understand that based upon a review of the Declaration and Power of Attorney (the "Declaration") for United States Patent Application Serial No. 09/380,545, Attorney Docket No. 11321-P012WOUS, (the "Application"), an error has been identified.

I further understand the Declaration was filed on December 22, 1999 and that on March 7, 2000, Applicant received a Notification of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495 reflecting that the December 22, 1999, was the date of receipt of 35 U.S.C. 371 requirements.

I further understand that, after the filing of the Declaration and due to a restriction requirement by the United States Patent and Trademark Office, the following divisionals of the United States Patent Application Serial No. 09/380,545 have been filed:

Appl. Serial No.	Att. Docket No.	Continuity Type:	Filing Date:
10/032,933	11321-P012USD1	Divisional	December 28, 2001
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10/034,745	11321-P012USD3	Divisional	December 28, 2001
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10/033,228	11321-P012USD10	Divisional	December 28, 2001
10/032,726	11321-P012USD11	Divisional	December 28, 2001
10/033,075	11321-P012USD12	Divisional	December 28, 2001
10/033,028	11321-P012USD13	Divisional	December 28, 2001

The identified error occurs on Page 2 of the Declaration, under the heading "Prior United States Application(s)." The Declaration inadvertently referred to a claim for benefit under 35 U.S.C. 120 based upon the United States Patent Application Serial No. 09/380,545, which is not a continuation-in-part of the present application.

**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

Rather, the Application is the United States National Phase of International Application Serial No. PCT/US98/04513, filed on March 6, 1998 and claims priority benefits from such Application, as well as claiming priority benefits from the United States provisional patent applications that were listed on page 1 of the Declaration.

This error of the Declaration was inadvertent and arose without any deceptive intent.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**FULL NAME OF FIRST INVENTOR: RICHARD E. SMALLEY**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1816 Bolsover**  
**Houston, Texas 77005**

**CITIZENSHIP: U.S.A.**

**POST OFFICE ADDRESS: (Same as Residence)**

**FULL NAME OF SECOND INVENTOR: DANIEL T. COLBERT**

**INVENTOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**RESIDENCE: 1911 Milford Street**  
**Houston, Texas 77098**

**CITIZENSHIP: U.S.A.**

ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

FULL NAME OF THIRD INVENTOR: **HONGJIE DAI**

INVENTOR'S SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

RESIDENCE: **365 Pescadero Terrace  
Sunnyvale, California 94086**

CITIZENSHIP: **U.S.A.**

POST OFFICE ADDRESS: **(Same as Residence)**

FULL NAME OF FOURTH INVENTOR: **JIE LIU**

INVENTOR'S SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

RESIDENCE: **5825 Gulfton, Apartment 3214  
Houston, Texas 77081**

CITIZENSHIP: **U.S.A.**

POST OFFICE ADDRESS: **(Same as Residence)**

FULL NAME OF FIFTH INVENTOR: **ANDREW G. RINZLER**

INVENTOR'S SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

RESIDENCE: **6666 Chetwood, Apartment 171  
Houston, Texas 77081**

CITIZENSHIP: **U.S.A.**

POST OFFICE ADDRESS: **(Same as Residence)**

ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

FULL NAME OF SIXTH INVENTOR: **JASON H. HAFNER**

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: **373 Highland Avenue, Apartment 203  
Somerville, Massachusetts 02144**

CITIZENSHIP: **U.S.A.**

POST OFFICE ADDRESS: **(Same as Residence)**

FULL NAME OF SEVENTH INVENTOR: **KENNETH A. SMITH**

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: **333 Dominion, Apartment 1334  
Katy, Texas 77450**

CITIZENSHIP: **U.S.A.**

POST OFFICE ADDRESS: **(Same as Residence)**

FULL NAME OF EIGHTH INVENTOR: **TING GUO**

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

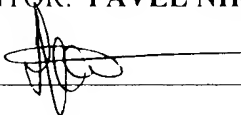
RESIDENCE: **2612 Calder Court  
Davis, California 95616**

CITIZENSHIP: **CHINA**

ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

FULL NAME OF NINTH INVENTOR: PAVEL NIKOLAEV

INVENTOR'S SIGNATURE:  DATE: 2.21.03

RESIDENCE: ~~PN~~ 2232 1/2 TROON ~~Houston, Texas 77019~~ 614 Kipling, apt. 9 PN  
Houston, TX 77006

CITIZENSHIP: RUSSIA

POST OFFICE ADDRESS: (Same as Residence)

FULL NAME OF TENTH INVENTOR: ANDREAS THESS

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: Max-Planck-Institut Biochemie; Abteilung Molekulare,  
Strukturbiologie am Klopferspitz 189, D-82152 Martinsried, Germany

CITIZENSHIP: GERMANY

POST OFFICE ADDRESS: (Same as Residence)

AUSTIN 112086201  
11321-P012WOUS 02/19/2003





ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

**SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As the below named inventor, I hereby declare that:

I understand that based upon a review of the Declaration and Power of Attorney (the "Declaration") for United States Patent Application Serial No. 09/380,545, Attorney Docket No. 11321-P012WOUS, (the "Application"), an error has been identified.

I further understand the Declaration was filed on December 22, 1999 and that on March 7, 2000, Applicant received a Notification of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495 reflecting that the December 22, 1999, was the date of receipt of 35 U.S.C. 371 requirements.

I further understand that, after the filing of the Declaration and due to a restriction requirement by the United States Patent and Trademark Office, the following divisionals of the United States Patent Application Serial No. 09/380,545 have been filed:

Appl. Serial No.	Att. Docket No.	Continuity Type:	Filing Date:
10/032,933	11321-P012USD1	Divisional	December 28, 2001
10/035,075	11321-P012USD2	Divisional	December 28, 2001
10/034,745	11321-P012USD3	Divisional	December 28, 2001
10/033,092	11321-P012USD4	Divisional	December 28, 2001
10/033,050	11321-P012USD5	Divisional	December 28, 2001
10/032,932	11321-P012USD6	Divisional	December 28, 2001
10/032,732	11321-P012USD7	Divisional	December 28, 2001
10/033,076	11321-P012USD8	Divisional	December 28, 2001
10/033,470	11321-P012USD9	Divisional	December 28, 2001
10/033,228	11321-P012USD10	Divisional	December 28, 2001
10/032,726	11321-P012USD11	Divisional	December 28, 2001
10/033,075	11321-P012USD12	Divisional	December 28, 2001
10/033,028	11321-P012USD13	Divisional	December 28, 2001

The identified error occurs on Page 2 of the Declaration, under the heading "Prior United States Application(s)." The Declaration inadvertently referred to a claim for benefit under 35 U.S.C. 120, based upon prior United States Patent Application No. 08/687,665, when, in fact, no such claim was being made. Accordingly, this Application does not claim priority benefits to Patent Application Serial No. 08/687,665 as originally stated in the Declaration.

**ATTORNEY DOCKET NO.**  
**11321-P012 Series**

**PATENT**

Rather, the Application is the United States National Phase of International Application Serial No. PCT/US98/04513, filed on March 6, 1998 and claims priority benefits from such Application, as well as claiming priority benefits from the United States provisional patent applications that were listed on page 1 of the Declaration.

This error of the Declaration was inadvertent and arose without any deceptive intent.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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ATTORNEY DOCKET NO.  
11321-P012 Series

PATENT

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ATTORNEY DOCKET NO.  
11321-P012 Series

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ATTORNEY DOCKET NO.  
11321-P012 Series

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AUSTIN\_11208620/1  
11321-P012WOUS 02/19/2003

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